

# LAKULISH YOGA UNIVERSITY

## Doctor of Philosophy (Ph.D.) Ordinances 2024

(Based on University Grants Commission (Minimum Standards and Procedures for Award of the Ph.D. Degree) Regulations, 2022)



## LAKULISH YOGA UNIVERSITY

Near Balaji Temple, Opposite Nirma University, Sarkhej-Gandhinagar  
Highway, Chharodi, Ahmedabad-382481, Gujarat, India.

**Phone:** 02717-297405, 9428494842

**Email:** [research@lyu.ac.in](mailto:research@lyu.ac.in)

**Website:** <https://lyu.ac.in/>



## 1. Short Title with Application and Commencement:

1. This Ordinance shall be called “Lakulish Yoga University Ph.D. Ordinance 2024”. It shall come force from 02-02-2024 by the Lakulish Yoga University.
2. This Ordinance shall apply to the Ph.D. programme conducted in different disciplines at the University/Constituent centres approved by the Lakulish Yoga University.
3. The Regulations govern the conditions for imparting instructions for the courses by conduct of examination and evaluation of scholars’ performance for Ph.D. degree. These Regulations will be effective for the batches of research scholars admitted in the academic year 2023-24 onwards.
4. Doctoral Programme leading to the degree of Ph.D. shall be offered in the following Faculties:
  - A. Ashtang Yoga
  - B. Karm-Bhakti-Gyan Yoga
5. The provisions of these Regulations shall be applicable to any new disciplines/subject that are introduced from time to time and should be included.
6. The powers to change/amend/interpret/implement lies with Academic Council.

## 2. Constitution of Ph.D. Committees:

1. There shall be committees for Admission like:
  - A. Admission Committee
  - B. Research Advisory Committee (RAC) should be formed for each faculty.

### A. Admission Committee

An Admission Committee will consist of:

- **Chairperson:** Provost of the University
- **Members:**
  - ✓ Head & Co-ordinator of the University Department



✓ Ph.D. guides from the Department

- This committee shall coordinate all the admission related work of the department. The Director/Head/Co-ordinator of the Department shall convene the meetings of the Admission Committee.
- There shall be common Entrance Test, and Personal Interview (PI) at university level. The admission committee shall evaluate and scrutinize the academic, professional and research related potential of the candidates based on the performance in the Entrance Test, & PI.

**B. Research Advisory Committee (RAC)**

1. Research Advisory Committee (RAC) will function at university level. The members of the committee shall hold the office for a period of three years from the date of the formation of the committee. The functions of the RAC will be to monitor/evaluate the Ph.D. Programme. The members of the committee shall be as:
  - A. Chairperson: Provost
  - B. Members
    - Dean
    - Director
    - Head of the University Departments
  - C. Minimum two outside subject experts from other than Lakulish Yoga University to be nominated by the Hon. Provost.

**❖ Research Advisory Committee and its Functions. -**

This committee shall have the following responsibilities:

- i. To review the research proposal and finalize the topic of research.
- ii. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.



- iii. To periodically review and assist in the progress of the research work of the Ph.D. scholar.
- iv. Each semester, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the Higher Educational Institution concerned. A copy of such recommendations shall also be provided to the Ph.D. scholar.
- v. In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. program.
- vi. If a scholar consecutively fails to appear for three Research Advisory Committee (RAC) meetings, their registration will be cancelled, and they will no longer be eligible to continue their PhD program.
- vii. Every scholar must remain present for a minimum of six RAC meetings to be eligible for pre-submission. In case of absence from any RAC, the scholar will be required to pay the applicable fees for term extension to maintain their status in the program.

## **2. Eligibility criteria for admission to the Ph.D. programme**

A. The following are eligible to seek admission to the Ph.D. programme:

1. Candidates who have completed:

1. A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's



degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

2. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.
3. Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.
4. Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible



for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

### **3. Duration of the Program**

1. Ph.D. Program shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. program.
2. A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Ordinance of the Higher Educational Institution concerned; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.
3. Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
4. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

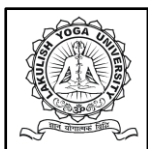
### **4. Types of Ph.D.**

There shall be two types of scholars in the Ph.D. program:

1. Full Time Research
2. Part Time Research

#### **1. Full Time Research**

The full-time scholars are expected to work on regular basis for entire Ph.D. program in their respective subject departments or



place of assignment by their guides/university. The scholars who are receiving assistantship/ scholarship/fellowship from UGC/ICSSR/DST/CSIR/DBT/MoES/MoEF/ KCG, Industry or any other funding agency as RA/SRF/JRF/Project Fellow/SHODH Scheme etc. shall be considered as full-time scholars provided, they submit the certificate from the concerned place of research/guide. In such cases prior permission is required from RAC.

## **2. Part Time Research**

Ph.D. programs through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled. This category refers to employed scholars admitted to the Ph.D. program. A “No Objection Certificate” is mandatory from the appropriate authority in the organization where the candidate is employed, clearly stating that:

- The candidate is permitted to pursue studies on a part-time basis.
- His/her official duties permit him/her to devote sufficient time for research.
- If required, he/she will be relieved from the duty to complete the course work.

Such scholars shall not be entitled/eligible for any kind of fellowship, financial assistantship and accommodation etc. offered by the University.

## **5. Multi/Intra/Inter disciplinary Research:**

1. Multi/intra/Inter disciplinary research in Science/Engineering/ Medical/ Paramedical/Arts/Humanities/Social Sciences/Education/ Law/linguistics etc. shall be encouraged.
2. In many emerging areas that are multi/intra/inter disciplinary in nature, opportunities shall be provided for research and Ph.D. studies. A candidate applying for such emerging areas shall specify the specific



discipline in which he/she intends to pursue research, the subject of research being wholly or partly related to the subject of the post-graduate degree qualified by the candidate. The admission under such emerging areas shall be considered only on the basis of proven ability and aptitude of the candidate for such level of research before the RAC after fulfilling all other applicable criteria for admission.

3. Candidates who propose to carry out research work in Multi/intra/inter disciplinary areas shall be permitted to have a Co-Guide on the recommendation of the RAC. The Co-Guide could be from the same or a different department/center of Lakulish Yoga University or from any other recognized University/institution in India or abroad.
4. Hon. Provost may approve, based on the recommendation of RAC, change to another faculty/ subject other than the one in which the candidate has obtained his/her master's degree, and granting registration to such scholar for the Ph.D. degree in an allied/different/concerned subject on a case-to-case basis.

## **6. Procedure for admission**

The admission shall be based on the criteria notified by the institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory/regulatory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time. Admission to the Ph.D. programme shall be made using the following methods:

1. The number of available seats for each faculty for Ph.D. programme in the university shall be decided well in advance and notified on the university website or by an advertisement, along with the date for the Entrance Test etc.
2. The candidates seeking admission shall have to fill up the prescribed admission form designed by the Lakulish Yoga University time to time and submit the same within the prescribed date specified in the admission announcement.



3. There shall be a common Entrance Test for all the eligible candidates who are interested for Ph.D. admission in Lakulish Yoga University as full time or part time research.
4. Those who qualify in the Entrance Test shall have to appear for Personal Interviews (PI) at the concerned subject Department at the Lakulish Yoga University, Ahmedabad.
5. A copy of the Ph.D. Ordinance, rules and regulations, details of Entrance Test, etc., related to the Ph.D. programme shall be provided to the candidates along with the admission form or through the website of the University.
6. Only stipulated number (available seats) of candidates shall be admitted to the Ph.D. programme based on the merit position of the eligible candidates. Merit position shall be decided after completion of the entire process of Entrance Test, and Personal Interview (PI) etc.
7. The University shall adopt the reservation policy of State Government for admission to Ph.D. programme.
8. The admission process, i.e., Entrance Test/PI/Seminar etc. shall be conducted once a year. Admission process is expected to be completed by 31<sup>st</sup> July/or as may be decided by Hon. Provost for every academic year.
9. The Entrance Test syllabus shall consist of 50% of research methodology, and 50% shall be subject specific.
10. The Entrance Test shall be of 100 marks and 2 hours duration. The format of the Entrance Test Paper shall be as follows:
  - A. Section-I (50 marks: 50 objective type questions covering general knowledge in the subject and components of research methodology of one mark each).
  - B. Section-II (50 marks: 50 objective type multiple choice questions related to the subject of one mark each).
11. There will be no negative marking.
12. Students who have secured 50 % marks in the entrance test are eligible to be called for the interview.



13. A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.
14. Lakulish Yoga University may decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.
15. Provided that for the selection of candidates based on the entrance test conducted by the Lakulish Yoga University, a weightage of 70 % for the entrance test and 30 % for the performance in the interview/viva- voce shall be given.

## **7. Exemption from Entrance Test**

The candidates having one of the following qualifications shall be exempted from the entrance test:

1. Qualified in GSET/UGC-NET, JRF/TRF, Entrance Test of CSIR/ICAR/ICMR/DBT/DST etc.
2. M.Phil. degree holder whose admission has been done through the Entrance Test of Lakulish Yoga University.
3. M.Phil. from other UGC recognized University and whose admission has been done through the Entrance Test.

## **8. Matters Related to Fee:**

1. A research scholar admitted to any of the Ph. D. Programmes in the University shall pay fee as decided by the University from time to time. Failure to pay fee for three consecutive terms shall lead to automatic cancellation of Ph D registration.
2. A registered Research Scholar shall submit his/her thesis within stipulated time, failing which he/she has to take extension as per and he/she shall be required to pay fees up to the time he/she submits



his/her thesis along with penalty fee decided by the university as per rules.

3. In case of a Ph. D. research scholar failing to submit his/her thesis within the stipulated time after submission of his/her synopsis failing which he/she has to take extension as per clause 3 decided by the university as per rules.
4. Fees once paid shall not be refunded in any case.

**9. Allocation of Research Supervisor. - Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc.**

1. Permanent faculty members working as Professor/Associate Professor of the Higher Educational Institution with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in Higher Educational Institutions with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university where the faculty member is employed or in its affiliated Post-graduate institutes. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its affiliated Post-graduate Colleges/institutes would be in violation of these Regulations.
2. Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the Higher Educational Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
3. Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.



4. Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
5. In case of interdisciplinary/multidisciplinary research work, if required, a co-Supervisor from outside the Department/Centre/University may be appointed.
6. An eligible Professor/Associate Professor/Assistant Professor can guide up to **eight (8) / six (6) / four (4)** Ph.D. scholars, respectively, at any given time.
7. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
8. The scholar who is RA/SRF/JRF/Project fellow/any other fellowship holder and working in interdisciplinary areas and from foreign countries shall be in addition to the above numbers, i.e., they will be considered as supernumerary too, till the vacancy occurred and back to prescribe Number.
9. In case of paucity/absence of approved Ph.D. guides in Multi/intra/inter disciplinary areas, the candidates may be permitted to register in an allied area with an available Ph.D. guide within the University jurisdiction and have a co-guide from any other neighboring approved research institution by Lakulish Yoga University at the discretion of the Hon. PROVOST, provided he/she fulfils the applicable criteria in consultation with RAC.
10. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until



superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

## 10. Responsibilities of Guides

1. The Ph.D. guide carries all the major responsibility of guiding/supervising the academic planning/ progress/execution of the plan of research throughout the period of study. He/she counsels the scholar in academic matters, and provides guidance on the nature of course work and research, the standards expected, the adequacy of progress, and the quality of work.
2. A guide, who will be superannuating in less than one year period, may not be permitted to take a fresh Ph.D. scholar, unless specifically permitted by the Hon. PROVOST, on the recommendation of the RAC for a period not exceeding two years. However, he/she can co- guide new scholars up to the age of 65 years.
3. To ensure the successful and timely completion of the programme, it is essential that Ph.D. guides and their scholars maintain regular contacts. The guides must give their scholars advance notice, if they plan to be absent from the University for an extended period of time, i.e., 03 months or more, and make suitable arrangements for the continued guidance to the scholar during his/her absence.

## 11. Change of Guides

1. In case of absence of the Ph.D. guide for more than 06 months or a guide is unable to complete the assignment of guiding a scholar - a substitute guide may be allotted by RAC from the available guides in the university from the concerned subject.
2. In case of sad demise/leave/deputation/suspension/VRS/etc. of the Ph.D. guide from the University for more than 01 year, the scholar may be permitted to change the guide on the recommendation of RAC.



3. Under exceptional/extraordinary circumstances, a change of Guide/Topic may be allowed to the scholars by the Hon. PROVOST, on the recommendation of RAC.

## **12. Admission of International students in Ph.D. program**

1. Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause above.
2. The LYU may decide their own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.
3. At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number prescribed in clause 9.6 and clause 12.1.

## **13. Course Work. - Credit requirements, number, duration, syllabus, minimum standards for completion, etc.**

1. The Credit requirement for the Ph.D. coursework is a minimum of 12 credits, including a “Research and Publication Ethics” course as notified by UGC vide D.O. No. F.1- 1/2018(Journal/CARE) in 2019 and a research methodology course. The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. program.
2. All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.
3. A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the program and submit his or her thesis.



---

**14. Evaluation and Assessment Methods, minimum standards/credits for award of the degree, etc.-**

1. Upon satisfactory completion of course work and obtaining the marks/grade prescribed in clause (3) of Regulation 13 above, the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis.
2. Before submitting the dissertation/thesis, the Ph.D. scholar shall make a presentation before the Research Advisory Committee of the Higher Educational Institution concerned, which shall also be open to all faculty members and other research scholars/students.
3. The Higher Educational Institution concerned shall have a mechanism using well-developed software applications to detect Plagiarism in research work and the research integrity shall be an integral part of all the research activities leading to the award of a Ph.D. degree.
4. A Ph.D. scholar shall submit the thesis for evaluation, along with (a) an undertaking from the Ph.D. scholar that there is no plagiarism and (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.
5. The Ph.D. thesis submitted by a Ph.D. scholar shall be evaluated by his/her Research Supervisor and at least two external examiners who are experts in the field and not in employment of the Higher Educational Institution concerned. Such examiner(s) should be academics with a good record of scholarly publications in the field. Wherever possible, one of the external examiners should be chosen from outside India. The viva-voce board shall consist of the Research Supervisor and at least one of the two external examiners and may be conducted online. The viva-voce shall be open to the members of the Research Advisory Committee/faculty members/research scholars, and students.
6. The viva-voce of the Ph.D. scholar to defend the thesis shall be conducted if both the external examiners recommend acceptance of the thesis after incorporating any corrections suggested by them.



7. If one of the external examiners recommends rejection, the Higher Educational Institution concerned shall send the thesis to an alternate external examiner from the approved panel of examiners, and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.
8. The Higher Educational Institution concerned shall complete the entire process of evaluating a Ph. D. thesis, including the declaration of the viva-voce result, within a period of six (6) months from the date of submission of the thesis.

### **15. Paper Presentation & Publication**

Research scholars are advised to publish three papers in peer journals/Scopus/Web of science and to present two papers at National or International Conferences.

### **16. Plagiarism**

Following mentioned guidelines issued by UGC will be followed:

- [https://www.ugc.gov.in/pdfnews/7771545\\_academic-integrity-Regulation2018.pdf](https://www.ugc.gov.in/pdfnews/7771545_academic-integrity-Regulation2018.pdf)
- [https://www.ugc.gov.in/pdfnews/2284767\\_self-plagiarism001.pdf](https://www.ugc.gov.in/pdfnews/2284767_self-plagiarism001.pdf)

### **17. Depository with INFLIBNET**

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Lakulish Yoga University shall submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting the same so as to make it accessible to all the Higher Educational Institutions and research institutions.



---

**18. Adjudication of any Conflict:**

The decision of the Provost shall be final and binding for all in the interpretation of any provision contained in these rules and regulations.

